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Mechanistic Insights on the Light-Driven Catalysis of an Immobilized Lipase on Plasmonic Nanomaterials

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KEYWORDS biocatalysis, gold nanostructures, LSPR-enhanced mechanisms, nanotechnology, plasmonic heating, triggered bioactivity.

ABSTRACT

The use of light as an external stimulus to control enzyme activity is an emerging strategy that enables accurate, remote and noninvasive biotransformations. In this context, immobilization of enzymes on plasmonic nanoparticles offers an opportunity to create light-responsive biocatalytic materials. Nevertheless, a fundamental and mechanistic understanding on the effects of localized surface plasmon resonance (LSPR) excitation over enzyme regulation remains elusive. We investigate herein the plasmonic effects on biocatalysis using Au nanospheres (AuNSp) and nanostars (AuNSt) as model plasmonic nanoparticles, lipase from *Candida antarctica* fraction B (CALB) as a proof of concept enzyme, and 808 nm as NIR light excitation. Our data show that LSPR excitation enables an enhancement of 58% in enzyme activity for CALB adsorbed on AuNSt, compared with the dark conditions. This work shows how photothermal heating over the LSPR excitation enhances CALB activity through favoring product release in the last step of the enzyme mechanism. We propose that the results reported herein shed important mechanistic and kinetic insights in the field of plasmonic biocatalysis and may inspire the rational development of plasmonic nanomaterial-enzyme hybrids with tailored activities under external light irradiation.

INTRODUCTION

Plasmonic nanomaterials, such as gold nanoparticles (Au NPs), display remarkable optical properties in the visible and near-infrared (NIR) spectral regions.¹⁻³ Such properties arise as a result of the excitation of localized surface plasmon resonances (LSPRs). It has been established that LSPR excitation in plasmonic NPs can accelerate a myriad of chemical transformations.⁴⁻⁷ This

38 catalytic effect can occur as a result of the generation of LSPR-excited charge carriers (hot
39 electrons and hot holes) and/or photothermal heating following plasmon decay.^{4, 8, 9} Surprisingly,
40 only a few studies have explored the use of LSPR excitation to tune biocatalytic reactions.¹⁰⁻¹⁵

41 The conjugation of enzymes to plasmonic NPs is attractive for applications in biomedicine,
42 such as photothermal therapy^{16, 17} and bioimaging,¹⁸ as well as in chemical manufacturing.¹³ In
43 fact, the use of plasmonic effects at the interface between nanoparticles and enzymes is gaining
44 momentum as a tool to remotely control biocatalytic processes using light as an external
45 stimulus.^{12, 13, 19} This field, plasmonic biocatalysis, paves the way to tuning enzymes' properties in
46 a non-invasive manner, enabling spatio-temporal control over the biocatalytic processes.^{12, 13}
47 Despite these fascinating opportunities, the mechanisms at the “nano-bio” interface underlying the
48 influence of plasmonic effects on enzyme functionality are poorly understood.^{10, 12, 20}

49 What is already known is that the enzyme/nanomaterial interface plays an important role
50 in the transport of substrates and products from the bulk to the enzyme active site, and *vice versa*,
51 thereby altering enzyme activity.²¹ For example, recent insightful mechanistic studies revealed that
52 the conjugation of hydrolases (*i.e.* phosphotriesterase) to quantum dots and Au NPs enhances the
53 enzymatic kinetic efficiency, as compared to their free counterparts.^{22, 23} Furthermore, kinetic
54 studies under high viscosity conditions demonstrate that the increase in apparent catalytic rate (k_{cat})
55 relies on higher product release kinetic constants associated to the last step of the hydrolases
56 catalytic mechanism. Nevertheless, how those kinetic parameters may be altered by light at the
57 interface between enzyme and plasmonic nanomaterials is still an open question that remains
58 largely underexplored.

To bridge this gap, we report herein on a detailed and systematic study of the effects of LSPR excitation over the activity and enhancement mechanisms in plasmonic biocatalysis. Specifically, we selected the lipase from *Candida antarctica* fraction B (CALB) as a proof of concept enzyme, whose catalytic mechanism is well understood, Au nanospheres (AuNSp) and nanostars (AuNSt) as model plasmonic NPs, and a NIR laser as the light excitation source. Both on- (AuNSs) and off- (AuNPs) resonance conditions relative to the NIR laser source were investigated to demonstrate the LSPR-driven enhancement effects. Although CALB has been previously conjugated to Au NPs,²⁴⁻²⁶ control over its catalytic activity through plasmonic effects remains elusive. Our data suggest that the localized photothermal heating following LSPR excitation plays an important role toward favoring the reaction step involving product desorption from the biocatalytic active sites, ultimately leading to increased reaction rates.

RESULTS AND DISCUSSION

The first step toward this study comprised CALB adsorption onto Au NPs. It is well established that enzymes can interact with Au NPs surfaces via the interaction of carboxyl and amine groups present in the amino acid residues of the enzyme structure, following a kinetic process that involves anchoring, crawling, and subsequent binding onto the NPs surface.^{24, 27, 28} It has also been reported that electrostatic binding can take place between remaining carboxyl groups on the Au NPs' surface (*e.g.* from citrate employed during synthesis) and amino groups (*e.g.* Lys and Arg) from the enzyme structure.²⁹ Furthermore, enzymes containing thiolated amino acid residues may interact with the Au NPs surface by chemisorption.^{30, 31} CALB presents ten thiolated residues in its structure, of which four are methionine and six are cysteine residues, forming three disulfide bonds³² and providing favorable conditions for anchoring enzymes onto the NPs surface.²⁷

AuNSt were synthesized by a seed-mediated growth method,^{33, 34} using ascorbic acid as reducing agent, silver nitrate to assist the growth of spiky nanostructures, and CALB as stabilizing molecule. AuNSp were synthesized according to the Turkevich method³⁵ and subsequently coated by CALB. Both AuNSt and AuNSp were washed by centrifugation and removal of the supernatant to ensure that only CALB molecules adsorbed onto the NPs surface. AuNSt and AuNSp showed great colloidal stability upon CALB adsorption. The corresponding nanobioconjugates are referred to as AuNSt@CALB and AuNSp@CALB, respectively. Figure 1A-D shows representative TEM images AuNSp@CALB (Figure 1A and B) and AuNSt@CALB (Figure 1C and D). Nanoparticle size distribution histograms are presented in Figure S1. The images confirm the formation of Au nanospheres and nanostars with spiky morphology and sharp tips branching out from a central core. Both AuNSt@CALB and AuNSp@CALB displayed a relatively narrow size distribution, with diameters corresponding to 12 ± 2 and 100 ± 20 nm, respectively. For AuNSt, the tip dimensions were approximately 45 ± 5 nm in length and 5 ± 0.6 nm in width. Although not conclusive, high-resolution TEM images (Figure 1B and D) evidenced the presence of an organic layer on AuNSp and AuNSt surfaces, which may correspond to adsorbed CALB. The morphologies of AuNSp and AuNSt were thus preserved upon CALB adsorption and no aggregation was observed, even after laser irradiation (Figure S2). This indicates that CALB served as a suitable stabilizing agent for both AuNSp and AuNSt. AuNSp@CALB and AuNSt@CALB exhibited intense LSPR bands around 525 nm and 700 nm, respectively (Figure 1E). These LSPR band positions were exploited to study the effect of on and off resonance conditions, relative to the NIR laser wavelength employed in CALB biocatalysis studies (808 nm, indicated by the dashed line in Figure 1E).

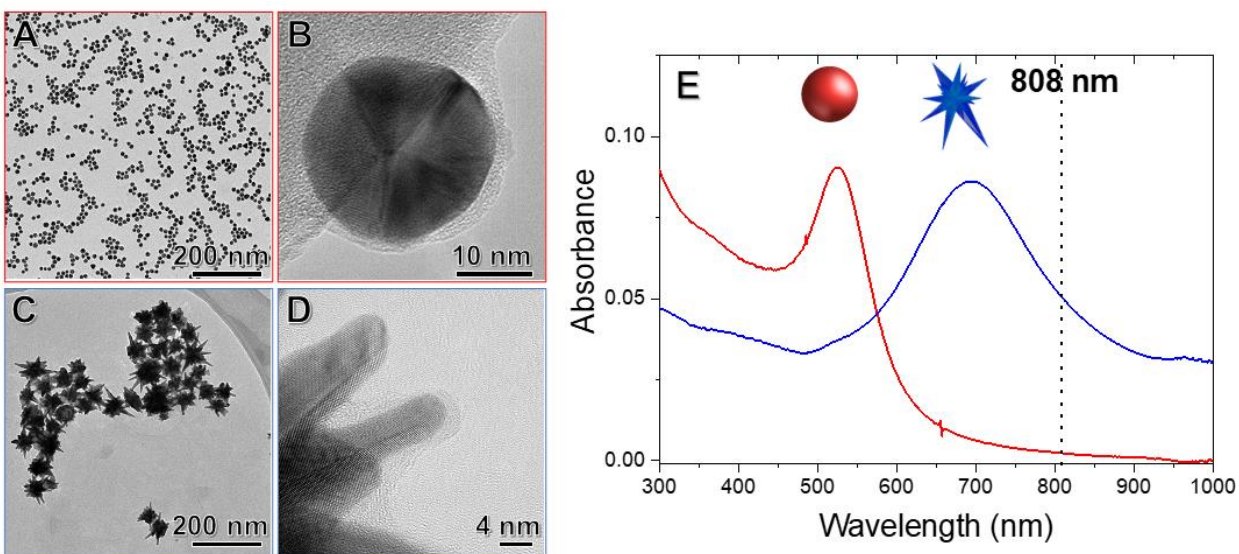


Figure 1. (A-D) TEM (A and C) and high resolution TEM (B and D) images of AuNSp@CALB (A and B) and AuNSt@CALB (C and D). (E) UV-Vis extinction spectra registered from aqueous suspensions containing of AuNSp@CALB (red trace) and AuNSt@CALB (blue trace). The 808 nm wavelength employed for biocatalysis studies is indicated by the black dashed line.

104

105 We then turned our attention to the study of CALB activity toward the hydrolysis of 4-

106 nitrophenyl palmitate (*p*NPP) as a model reaction (see Scheme 1 in the Experimental section). We

107 found that CALB activity (under light off conditions) decreased upon its adsorption on both AuNSt

108 and AuNSp (Table 1). This behavior is a common trend typically observed for immobilized

109 enzymes, being established that external mass transport restrictions limit their activity.³⁶ We

110 determined the catalytic rate constant (k_{cat}), the binding Michaelis constant (K_{M}), and the catalytic

111 efficiency ($k_{\text{cat}}/K_{\text{M}}$) of free CALB and the same apparent parameters for the adsorbed enzyme

112 (Table 1 and Figure S3). Under light off conditions, the decrease in k_{cat} values for CALB adsorbed

113 on the Au NPs can be related to a partial loss of enzyme activity. Nevertheless, the K_{M} values of

114 adsorbed enzymes on the Au NPs were significantly smaller as compared to free CALB. This

115 lower apparent K_{M} suggests an increase of substrate local concentration at the NP surface, which

116 causes the higher activities observed at lower bulk substrate concentration. This effect was more

evident for AuNSt than for AuNSp. Similar results were obtained with a homologous lipase from *Candida rugosa* immobilized on AuNSp.²⁶ The k_{cat}/K_M value decreased upon CALB adsorption on the Au NPs, but that decay was 1.7 times lower for AuNSt@CALB than for AuNSp@CALB. The different kinetic behavior of CALB on the two different NP morphologies can be related to the enzyme density for nanoparticles with different curvature, where NPs with a smaller size (*i.e.*, with a higher curvature) display a higher enzyme activity.²³ In this context, the tips of AuNSt (*ca.* 5 nm in diameter) can provide a surface of much higher curvature, compared to AuNSp (diameter around 20 nm), leading to a lower density of CALB at the NP surface that results in a higher enzyme activity.

Table 1. Kinetic parameters determined from Michaelis-Menten plots (Figure S3) for samples under light on and off (dark) conditions ^a.

Sample	k_{cat} (min ⁻¹)		K_M (μM)		k_{cat}/K_M (μM ⁻¹ x min ⁻¹)	
	OFF	ON	OFF	ON	OFF	ON
AuNSt@CALB ^b	2461 ± 82	3947 ± 240	3.2 ± 0.4	5.1 ± 0.5	773 ± 180	765 ± 448
AuNSp@CALB ^c	2140 ± 126	2705 ± 372	4.8 ± 0.6	7.0 ± 2.0	443 ± 192	385 ± 186
Free CALB ^d	15855 ± 732	15966 ± 758	10.4 ± 4.3	9.7 ± 3.9	1520 ± 169	1640 ± 192

^a Reaction conditions: PBS buffer at pH 7.4; at room temperature (approx. 20 °C); NIR laser irradiation at 3.2 W/cm². Enzyme concentration used: ^b 1.5 μmol L⁻¹, ^c 1.0 μmol L⁻¹, and ^d 1.1 μmol L⁻¹. The kinetic constants calculated for the immobilized enzymes are apparent constants, since they also account for mass transfer restrictions.

We further studied the effect of light irradiation on the hydrolytic activity of AuNSt@CALB and AuNSp@CALB under different irradiation conditions. The reactions were carried out in a quartz cuvette illuminated with a NIR laser at $\lambda = 808$ nm, measuring the release

of 4-nitrophenolate (*p*NP) *in situ*, using a UV-Vis spectrophotometer (Figure 2A). Unlike the results under dark conditions, NIR irradiation enhanced the enzymatic activity of AuNSt@CALB to a significantly higher extent than that for AuNSp@CALB irradiated under the different laser powers (Figure 2B and Table 1). This result agrees with the better match between the incoming light wavelength (808 nm) and the LSPR position in AuNSt (700 nm, Figure 1E), as compared to AuNSp (525 nm, Figure 1E). In the case of AuNSt@CALB, the activity increases with laser power until reaching a plateau at laser powers above 1.6 W/cm². No differences were observed for the activity of free CALB under light on and off conditions (Figure S4). Therefore, NIR irradiation only leads to a significant enhancement on the activity of CALB molecules at the surface of AuNSt, which feature a LSPR position which better matches the light excitation wavelength.

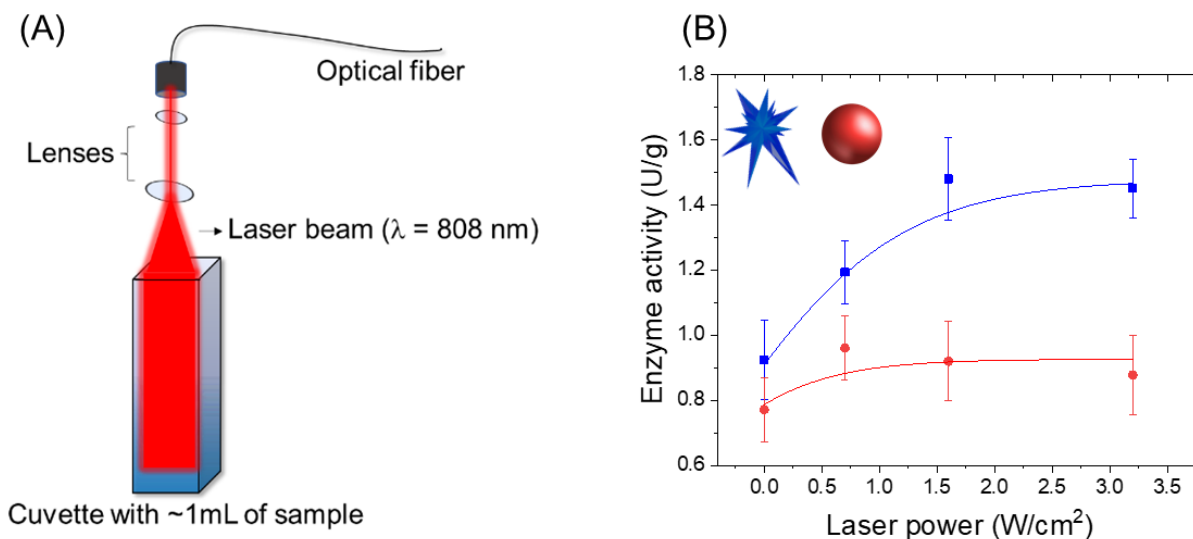


Figure 2. (A) Schematic illustration of the laser irradiation setup. (B) Effect of NIR laser power ($\lambda = 808$ nm) on the enzymatic activity of AuNSt@CALB (blue squares) and AuNSp@CALB (red circles).

To unravel the effect of LSPR excitation over enzymatic activity, we investigated the heating capacity of AuNSt and AuNSp under the employed light irradiation conditions. The samples were therefore illuminated with the NIR laser and the temperature changes in the colloidal

dispersion over time were monitored with a thermal camera. When the temperature reached thermal equilibrium, the laser was turned off and the cooling down curve was recorded to quantify heat dissipation to the solution. Figure 3A shows exemplary heating and cooling curves for both AuNSt@CALB and AuNSp@CALB. The molar heat transfer rates for both AuNSt and AuNSp were calculated by fitting these temperature time-courses to Equation 1,³⁷ as illustrated in Figure 3B.

$$\frac{\Delta Q}{c_{Au}} = \frac{Q_{sample} - Q_{medium}}{\epsilon_{400}/2.4 \text{ mmol L}^{-1}} \quad (1)$$

Here, the generated heat output (ΔQ), obtained from the heat difference between the sample (Q_{sample}) and the medium (Q_{medium}), is related in terms of the estimated gold concentration ($c_{Au} = \epsilon_{400}/2.4 \text{ mmol L}^{-1}$)³⁸ in the sample. It was found that the molar heat transfer rate was much larger for AuNSt@CALB than for AuNSp@CALB. In this case, LSPR excitation leads to photothermal heating because of plasmon decay. Such a photothermal heating effect takes place close to the NPs' surface and is further dissipated to the reaction mixture, leading to the detected temperature increase. Our data indicate that AuNSt are more efficient nano-sources of heat⁸ than AuNSp under the employed NIR irradiation conditions. In fact, Figure 3C shows that AuNSt@CALB under NIR irradiation (3.2 W/cm^2) were capable to increase the bulk temperature of the reaction mixture up to $7.3 \text{ }^{\circ}\text{C}$, versus the $2.7 \text{ }^{\circ}\text{C}$ observed for AuNSp@CALB under the same conditions. As expected from the photothermal heating triggered by LSPR excitation, we observed an increase of the bulk temperature by increasing the laser power.

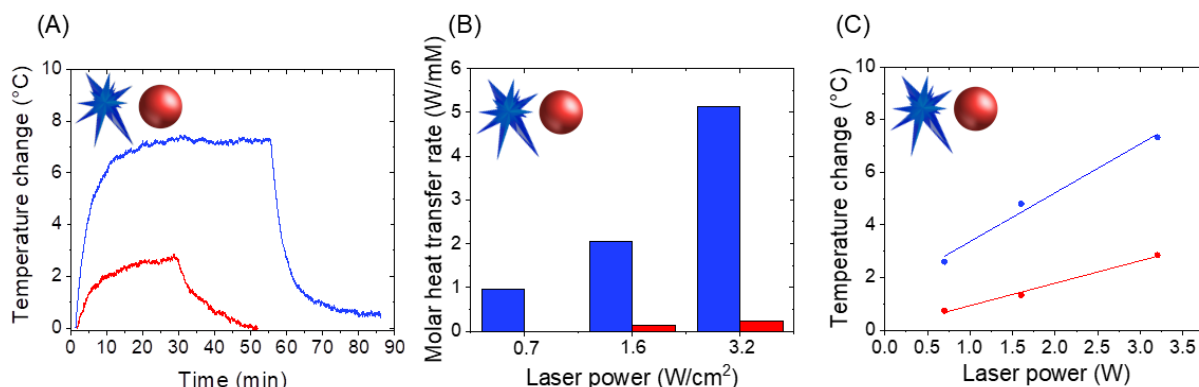


Figure 3. Plasmonic heating effects of NIR laser ($\lambda = 808$ nm) on AuNSt@CALB (blue) and AuNSp@CALB (red). (A) Example of heating and cooling curves (laser power 3.2 W/cm²). (B) Molar heat transfer rate vs. laser power. (C) Temperature changes measured in colloidal dispersions of AuNSt@CALB (blue) and AuNSp@CALB (red).

In this context, it is expected that photothermal heating can lower the activation energy of the enzyme, according to the Arrhenius analysis²², thereby leading to higher enzyme activity. This effect was further confirmed by activity assays for both free CALB and adsorbed onto Au NPs, under different temperatures, as shown in Figure 4A. Typically, each class of enzyme exhibits an optimal temperature where the highest activity is observed.³⁹ Above this value, the activity gradually decreases due to protein denaturation. Free CALB showed an optimal temperature of 55 °C (Figure 4A), in agreement with previously reported data.⁴⁰ At temperatures above 55 °C, free CALB undergoes thermal deactivation and its activity decreases considerably. Conversely, the enzymatic activity increased with temperature, even at values above 55 °C, for AuNSt@CALB and AuNSp@CALB. The preservation of enzyme activity at high temperatures indicates that the adsorption of CALB on Au NPs enhances the enzyme thermal stability. Circular dichroism (CD) spectroscopy studies (Figure 4B and Figure S5) demonstrate the higher conformational stability of enzymes adsorbed on both AuNSt and AuNSp, which explains their higher enzyme activities at temperatures above 55 °C. The observed decrease in mean residue ellipticity (MRE) at 222 nm

corresponds to major conformational changes in the α -helix secondary structure of CALB (Figure 4B). The adsorption of CALB on AuNSt precludes the structural distortions induced by the higher temperatures, as no significant ellipticity changes were observed up to 48 °C. However, the conformation of free CALB was gradually distorted at temperatures higher than 25 °C.

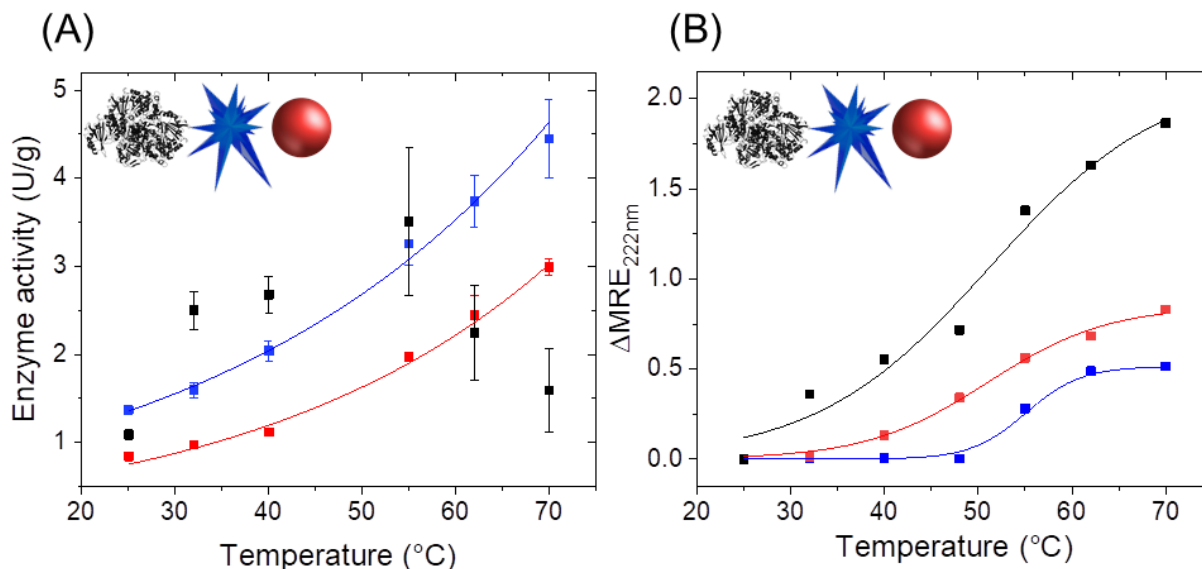


Figure 4. Temperature effects at dark conditions on enzymatic activity (A) and enzyme secondary structure (B), for AuNSt@CALB (blue), AuNSp@CALB (red), and free CALB (black). (A) Enzymatic activity as a function of temperature, fitted to the Arrhenius model. (B) Thermal denaturation of the enzyme conformation monitored by the variation of MRE ($\Delta MRE = MRE_{25^\circ C} - MRE_T$) at 222 nm, measured by CD spectroscopy. CD data were obtained by an average of 10 accumulation spectra for each sample.

Interestingly, the activity vs. temperature correlation (Figure 4A) serves as a calibration curve for the indirect evaluation of local gradients occurring under irradiation conditions (Figure 2B). This strategy has been previously used to determine the local heating of magnetic iron oxide nanoparticles under alternating magnetic fields.⁴¹ As presented in Figure 2B, the enzymatic activity of AuNSt@CALB under 3.2 W/cm² laser irradiation was 58% higher than that under non-irradiated conditions at the same bulk temperature (room temperature). We know that the particles

under 3.2 W/cm² laser irradiation are only capable to heat the bulk up to 32 °C (Figure 3C). However, a proportional activity enhancement of 58% would correspond to a bulk temperature of roughly 42 °C (see Figure 4A). The differences between the expected activity according to the bulk temperature correlation (Figure 4A) and the measured activity under laser irradiation (Figure 2B) suggest the existence of a local temperature gradient between the AuNSt surface and the bulk. In this way, according to the obtained enzyme activity values, we can estimate a 10 °C gradient difference between the enzyme environment (42 °C) and the bulk (32 °C). This observation may also be related to previous studies describing the inactivation of enzymes immobilized on Au NPs under laser irradiation, likely due to an excess of local heating.^{10, 11}

Previous studies have described similar observations on the thermal effects promoted by light absorption.¹⁰⁻¹⁵ Recently, the effects of photothermal heating and LSPR excited charge carriers were investigated in plasmonic catalysis,^{4, 8, 9} but a clear distinction of their contributions remains challenging. This is because photothermal heating is largely unavoidable following LSPR excitation.⁴² In the present case, AuNSt seem to release more photothermal heating to the surrounding media as a result of a more efficient LSPR excitation^{8, 43} leading to a larger enhancement in the enzyme activity. Moreover, it is plausible that water pocket interfaces present in the enzyme structure can result in higher yields of energy distribution throughout the enzyme structure.⁴⁴ Lastly, we argue that discussing the activity enhancement mechanism through a mechanism based on LSPR-excited charge carriers would be too speculative, as the hydrolysis mechanism does not involve electron transfer and CALB lacks any metallic center that may facilitate electron shuttle. Although both mechanisms might occur simultaneously, electronic effects can be hardly assessed for this system using state-of-the-art methodologies, whereas photothermal effects are more accessible as we showed.

Inspired by these results, we performed a series of studies to understand the effect of LSPR excitation on the activity enhancement observed for AuNSt@CALB through determination of the steady-state kinetic parameters under irradiation conditions. First of all, we investigated how the maximum reaction rate and k_{cat} were affected by light irradiation when CALB was adsorbed on Au NPs (Table 1 and Figure S3). The value of the apparent k_{cat} is 60% higher for AuNSt@CALB under irradiation than under non-irradiation conditions. This effect was less noticeable for AuNSp@CALB, and even less for free CALB. Interestingly, K_M values increased under laser irradiation only when CALB was adsorbed on Au NPs, with no apparent changes in free CALB, suggesting that laser irradiation influences the enzymatic activity when CALB is at the Au NPs surface. The k_{cat}/K_M showed similar values for all samples, regardless of light irradiation, because the effect of light on the catalytic constant is compensated by the effect on the binding constant. The higher k_{cat} values under irradiation conditions are probably due to the higher local temperature at the surface of AuNSt, which is also supported by the analysis based on Arrhenius plots (Figure S6). The activation energy barrier for the enzyme activity on AuNSt@CALB decreased from 32 to 21 kJ mol⁻¹ when the laser was turned on. In contrast, light was unable to alter the activation energy of the free enzyme, supporting that the interface between the enzyme and AuNSt played a key role to enhance the enzymatic activity through plasmonic effects.

We next performed a more detailed analysis of the reaction time-courses that revealed fundamental mechanistic information for the performance of CALB adsorbed on Au NPs, under light irradiation conditions (Figure 5). According to the general enzymatic mechanism (see Figure 6), the reaction kinetics are driven by an initial fast equilibrium binding step followed by an irreversible chemical step. Assuming that the second step of the lipase reaction is the rate-limiting one, the activity assay we used does not account for the product release of the acid,^{28, 45, 46} since

the colorimetric method only detects the product *p*NP. The reaction time-courses in Figure 5 were fitted to the initial-burst kinetic model as described by Equation 2, to better estimate the second step of the lipase mechanism.⁴⁷

$$[P] = vt + [E_0] * (1 - e^{-k_{obs}t}) \quad (2)$$

Here, the concentration of the formed product (P) is related to the initial velocity (*v*), the initial concentration of the enzyme (*E*₀), and the rate constant (*k*_{obs}), as a function of time (*t*) (see Figure S7). In this kinetic model, if *k*_{obs} >> *v*, *v* accounts for the rate-limiting step in the enzymatic mechanism of CALB, which we assign to the steps of hydrolysis of the acyl-enzyme complex and product release. Looking beyond the initial burst in the early stages of product conversion with AuNSt@CALB, Figure 5A illustrates that light irradiation affects more significantly the second phase (after ca. 5 min) of the time-courses. Indeed, AuNSt@CALB exhibited a *v* value which is 36% higher under irradiation than under non-irradiation (dark) conditions. Such a light-driven enhancement was higher than that observed for AuNSp@CALB (12%). The time-course conversion as a function of time for free CALB did not fit this kinetic model, but the Michaelis-Menten kinetic parameters clearly demonstrate that the activity of the free enzyme was not affected by light irradiation. Consequently, laser irradiation appears to play a relevant role in the rate-limiting step of the enzyme reaction mechanism.

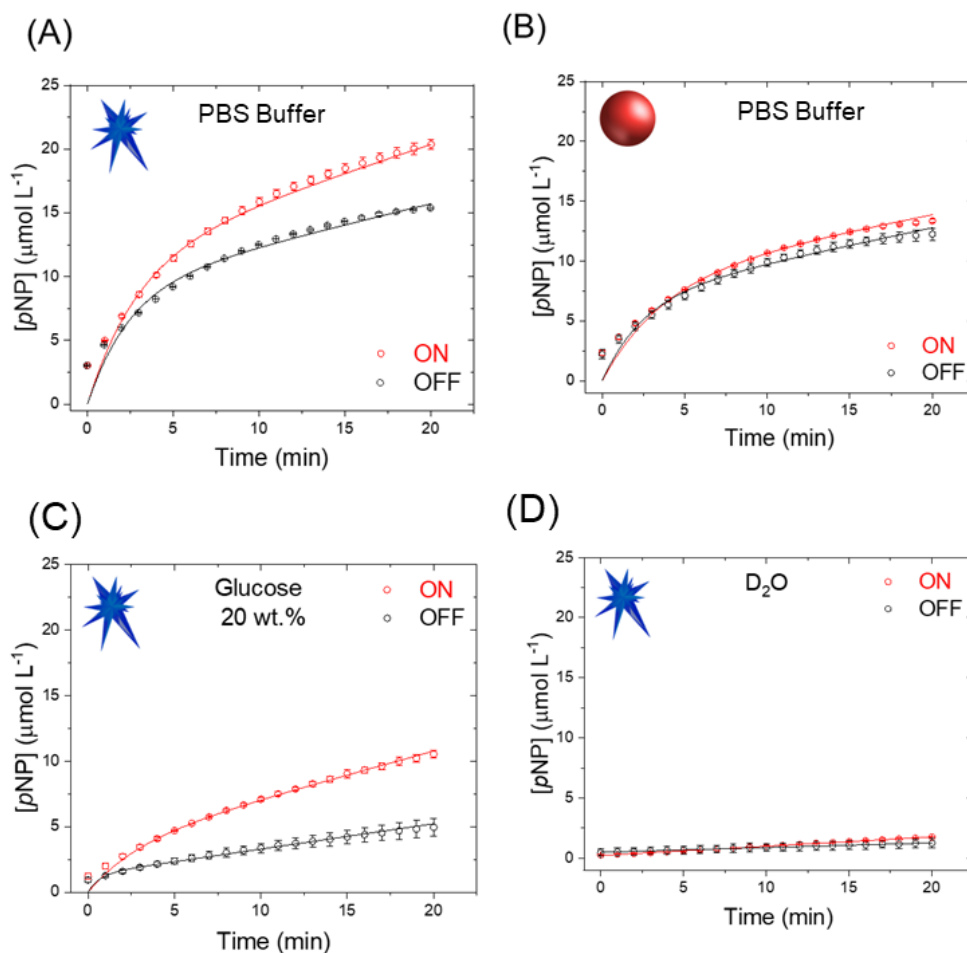


Figure 5. Time-courses of pNPP hydrolysis catalyzed in PBS buffer (A and B) by AuNSt@CALB (A, C and D) and AuNSp@CALB (B), under NIR irradiation (3.2 W/cm^2) and non-irradiation (dark) conditions. Viscosity (C) and solvent isotopic (D) effects on the time dependence of the product formation for AuNSt@CALB. Viscosity assays were performed in presence of glucose 20 wt.% and solvent isotopic assays were performed in the presence of D₂O. All experimental data were fitted to an initial-burst kinetic model (Equation 2) and the respective values are listed in Table S1.

To explain the effect of light on CALB activity from a mechanistic point of view, we inspected the well-known three-step catalytic mechanism of hydrolases (Figure 6).^{23, 26, 45, 48, 49} This mechanism is defined by four distinct rate constants (k_1 , k_{-1} , k_2 , k_3).^{26, 45, 49} Therefore, to decipher whether light affects either chemical hydrolysis, product release or both steps, reaction

time-courses were recorded with AuNSt@CALB in different reaction media, under both light and dark conditions.

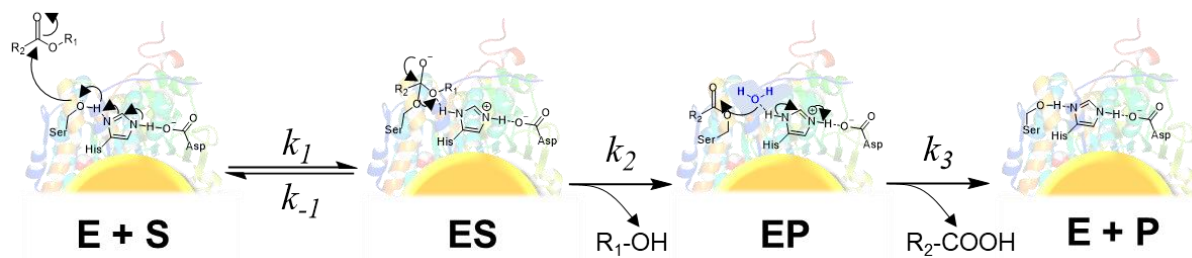


Figure 6. Scheme of the general mechanism for a lipase catalytic reaction. The constants k_1 and k_{-1} are related to reversible binding of the substrate (S) to the enzyme (E) active site, to form the transient intermediate (ES); k_2 rules the formation of the acyl-enzyme complex and release of the alcohol product (EP); k_3 accounts for the hydrolysis of that complex, releasing the acid product to the bulk (E + P).

We first monitored reaction time-courses in viscous media (20 wt% glucose), aiming at hampering the product diffusion out the active center (state E+P). The interchain hydrogen bonds formed between glucose molecules increase the medium viscosity⁵⁰, like other sugar solutions such as sucrose,^{22, 23} which is known to hamper product release from the enzyme microenvironment. Under these conditions, the product release appears to be the dominant rate-limiting step,^{23, 48} so the effects of laser irradiation on the ν parameter of the initial-burst model compared to the corresponding control experiments (no glucose) can be quantified. Under both on and off conditions, more viscous reaction media slowed down product formation along time (Figure 5C and Table S1). Remarkably, light irradiation significantly accelerated (by 40%) the slowest phase of the time-courses using AuNSt@CALB under viscous conditions. Conversely, the influence of light on the performance of both AuNSp@CALB and free CALB was negligible (Figure S8). To confirm the results extracted from the burst-kinetic model, and considering the

deacylation of the enzyme as the slower step (*i.e.*, $k_3 \ll k_2$), which means that k_3 is the rate-limiting step (*i.e.*, $k_{cat} = k_3$) as supported by recent computational studies⁵¹, we constructed the three-step kinetic model showed in Figure 6 using the software COPASI.⁵² This model allowed us to estimate k_3 values from the reaction courses obtained with AuNSt@CALB (see Table S2 and Figure S9). We found that k_3 follows the same trend as the v parameter calculated from the initial-burst kinetic model. Light increases by 2-fold the k_3 value of AuNSt@CALB, compared to the non-irradiated reaction, and the $k_{3(ON)}/k_{3(OFF)}$ ratio is maximized under viscous reaction media (in the presence of glucose) by a factor of 3.5 (Figure S10). These experimental results suggest that light contributes to enhancing the catalytic properties of AuNSt@CALB through easing the product release (state E+P) from enzyme close to the plasmonic NPs surface. Subsequently, to evaluate whether light can also affect the kinetics of the hydrolytic step (state EP), the kinetic isotopic effect (KIE)⁵³ was studied by using heavy water (D_2O) under laser on and off conditions, and the results were compared to their corresponding control experiments (in H_2O). In this step, water molecules from the medium play a crucial role in the nucleophilic attack for cleaving the carbonyl group bond of the acyl-enzyme complex.^{26, 49} When using D_2O as solvent, the enzyme activity dramatically decreased for both conditions, as expected from the occurrence of an isotopic effect in the hydrolysis step⁵³ (Figure 5D). Hence, we observe a KIE of $v(H_2O)/v(D_2O)$ of 8.67, which demonstrates that the hydrolysis of the acyl-enzyme complex dominates the rate-limiting step (k_3). On the other hand, when the reaction time-courses were recorded in the presence of D_2O and under irradiation conditions, light had a negligible effect on the enzymatic rates. Hence, when the hydrolysis of the acyl-enzyme complex is extremely slow due to isotopic effects, NIR laser irradiation no longer affects the rate-limiting step of the AuNSt@CALB catalysis mechanism. Interestingly, these results indicate that plasmonic excitation hardly affects the kinetics of water

attack, while it significantly increases the efficiency of the product release step. When compared to the activity of soluble CALB measured in PBS buffer, we found a stronger temperature dependence of the free enzyme activity in viscous media, but a weaker dependence in D₂O. These results further support the enhancement of product release when the enzyme is locally heated at the interface with the irradiated plasmonic nanoparticle (Figure S11). Previous studies reporting that enzyme immobilization on the surface of nanoparticles leads to more significant changes in the product release step in the enzymatic kinetics^{22, 23} also support the assumption that the major contribution of light is related to this step. Therefore, our results demonstrate that LSPR excitation increases the activity of AuNSt@CALB by enhancing the kinetics of product release in the last step of the enzyme mechanism driven by k_3 , as summarized in Figure 6. This effect has been observed exclusively with AuNSt@CALB, confirming that the LSPR of Au NPs must be in resonance with the incident NIR laser wavelength (808 nm), to exert the effect on enzyme properties.

CONCLUSION

We used CALB adsorbed on the surface of Au nanospheres (NSp) and nanostars (NSt) as a model system to unravel the effect of light illumination, and thus LSPR excitation, on the underlying mechanisms behind the plasmonic enhancement of enzyme activity under NIR excitation. It was found that LSPR excitation in the NIR enabled an increase of 58% in enzyme activity when Au NSt were employed as immobilization carriers. In addition to the enhanced activities, we investigated the effect of plasmonic excitation on the rate-limiting step of the enzymatic reaction. Data from highly viscous conditions and solvent isotopic effects revealed that photothermal heating from LSPR excitation accelerated the latest step of the reaction by favoring product release, rather than improving the hydrolytic step at the interface between the enzyme and

the plasmonic NPs. We envision that some of the mechanistic conclusion reached in this work can be translated to other combinations of enzymes and plasmonic NPs, and may inspire the rational design of plasmonic NPs and enzyme hybrids with target activities and selectivity that can be externally controlled by light excitation.

EXPERIMENTAL SECTION

Materials. Lipase from *Candida antarctica* fraction B (CALB), tetrachloroauric acid ($\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$), sodium citrate tribasic dihydrate, ascorbic acid, silver nitrate, 4-nitrophenyl palmitate were purchased from Sigma-Aldrich. Phosphate-buffered saline was purchased from Biochrom GmbH, (Berlin, Germany). CALB solutions were prepared in PBS buffer pH 7.4. The concentration of CALB was determined by the colorimetric kit Bradford assay⁵⁴, purchased from Thermo Scientific. All chemicals were used as received. Purified Milli-Q water (Millipore, 18.2 M Ω cm) was used in the preparation of all solutions.

Gold nanoparticles synthesis and CALB adsorption.

AuNSp@CALB synthesis. AuNSp were obtained by Turkevich method.⁵⁵ In a typical procedure, 150 mL of 2.2 mmol L⁻¹ sodium citrate solution under vigorous stirring was heated until boiling. Then, 1 mL of 25 mmol L⁻¹ $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ was added. The temperature was decreased to ~90 °C and the solution color change from soft yellow to red in ~10 min. After the solution reach 90 °C, 1 mL of 60 mmol L⁻¹ sodium citrate solution and 1 mL of 25 mmol L⁻¹ $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ were subsequently added, and let it stir during 30 min at 90 °C. After cooling to room temperature, samples were stored in fridge for further use. The adsorption of CALB onto AuNSp to obtain AuNSp@CALB bioconjugates was adapted from a previously described method.²⁴ First, 0.1 mg mL⁻¹ CALB stock solutions were prepared in PBS buffer pH 7.4. 10 mL of CALB solution was

368 added to 10 mL of previously synthesized AuNSp. The sample was incubated during 2h at 32 °C
369 and 300 rpm in an Eppendorf thermomixer. After, before using, the colloidal dispersion was
370 washed by centrifugation at 13 000 rpm during 20 min to remove the excess of CALB and possible
371 non-reactants from AuNSp synthesis. The precipitate was washed and re-dispersed in PBS buffer.
372 Samples were previous analyzed by UV-Vis spectroscopy (Agilent 8453) to monitor the LSPR
373 signal and to determine the molar gold concentration in the samples at $\lambda = 400 \text{ nm}$ ³⁸.
374 AuNSp@CALB final concentration of Au was 0.84 $\mu\text{mol L}^{-1}$ and of CALB was 1.06 $\mu\text{mol L}^{-1}$.

375 *AuNSt@CALB synthesis.* AuNSt@CALB synthesis were adapted from a previously described
376 method.^{33, 34} AuNSt were obtained by seed-mediated growth. Firstly, seed solution was prepared
377 by adding 5 mL of 34 mmol L⁻¹ sodium citrate into 95 mL of 0.5 mmol L⁻¹ HAuCl₄.3H₂O under
378 boiling and vigorous stirring, and it was let stirring during 15 min at the same temperature and
379 stirring. After cooling to room temperature, the colloidal dispersion was stored in fridge for further
380 use. For AuNSt synthesis, 100 μL of 25 mmol L⁻¹ HAuCl₄.3H₂O was added into 10 mL of H₂O
381 containing 10 μL of 1 mmol L⁻¹ HCl under vigorous stirring at room temperature. Then, 100 μL
382 of seed solution, 100 μL of 3 mmol L⁻¹ silver nitrate solution and 50 μL of 100 mmol L⁻¹ ascorbic
383 acid solution were quickly subsequent added. After 3-5 min of stirring, 10 mL of 0.1 mg mL⁻¹
384 CALB solution was added and let it stirring for 5 min. Then, the sample was stored in fridge for
385 further use. Samples were washed just before the use by centrifugation at 7000 rpm during 15 min
386 to remove the excess of CALB and possible non-reactants from AuNSt synthesis. The precipitate
387 was washed and re-dispersed in PBS buffer. Samples were previous analyzed by UV-Vis
388 spectroscopy (Agilent 8453) to monitor the LSPR signal and to determine the molar gold
389 concentration in the samples at $\lambda = 400 \text{ nm}$ ³⁸. AuNSt@CALB final concentration of Au was 0.54
390 $\mu\text{mol L}^{-1}$ and of CALB was 1.14 $\mu\text{mol L}^{-1}$.

Enzymatic activity assays.

pNPP hydrolysis time dependence of the product formation. Enzymatic activity of free CALB and CALB-AuNSp bioconjugates were determined by measuring the release of 4-nitrophenolate (*p*NP) from the hydrolysis of 4-nitrophenyl palmitate (*p*NPP) (Scheme 1), monitored by UV-Vis spectroscopy at $\lambda = 405$ nm, as previously described elsewhere.^{24, 26} In a quartz cuvette containing 1000 μ L of PBS buffer pH 7.4, 36 μ L of 0.5 mmol L⁻¹ *p*NPP solution previously prepared in isopropanol was added. All *p*NPP solutions were prepared in the same day before use. Subsequently, 36 μ L of the sample was added and homogenized. The solution change slowly from transparent to light yellow upon *p*NP release, according to the amount of CALB in the sample. *p*NP concentration was determined from Lambert-Beer's law using molar extinction coefficient of $\epsilon = 12800$ mol L⁻¹ cm⁻¹.²⁶ The enzymatic activity was determined from the initial velocity obtained from the linear slope of *p*NP concentration versus time plot. The unit U/g corresponds to 1 μ mol of the product *p*NP formed per 1 min of reaction related to the amount of protein. For the assays upon laser illumination, the cuvette was illuminated vertically (see illustrative scheme in Figure 2A) and measures of the absorbance of *p*NP formation were recorded at each 1 min during approximately 20 min.

$$\ln K = \ln A - \frac{E_a}{RT} \quad (4)$$

where, k is the rate constant, A is the pre-exponential factor, R is the universal gas constant at the absolute temperature (T).

Viscosity and solvent isotope dependence. Viscosity and solvent isotope dependence kinetics were performed as previous described elsewhere.^{23, 48} For the viscosity assays, kinetics was performed in presence of 20 wt% glucose prepared in PBS buffer. For the solvent isotope assays, kinetics was performed in presence of D₂O and all samples were previously washed and re-suspended in D₂O to avoid any water molecules at the kinetics. Enzyme activity was determined by the same procedure described in the previous topic for pNPP hydrolysis time dependence of the product formation. Data were analyzed and fitted by an initial-burst of product kinetics model.⁴⁷

Heating experiments. 1 mL of sample in a quartz cuvette was illuminated by a near-infrared laser at $\lambda = 808$ nm (fiber-coupled laser diode, Lumics LU0808T040) laterally, passing through two lenses, one to collimate and other to expand the laser beam in order to illuminate a spot of 1 cm² onto the sample. The laser was illuminated upon different powers (0.7, 1.6, and 3.2 W/cm²) and monitored by using a thermal camera (FLIR A35) above the cuvette. The heating and cooling curves were obtained from the thermal camera data by using the ResearchIR software. PBS buffer and water were measured as blank curves to eliminate any contribution from the medium. The molar heat rate transfer was calculated by the relation³⁷:

$$\frac{\Delta Q}{c_{Au}} = \frac{Q_{sample} - Q_{medium}}{\varepsilon_{400}/2.4 \text{ mmolL}^{-1}} \quad (5)$$

where, the generated heat output (ΔQ), obtained from the difference of heat from the sample (Q_{sample}) and from the medium (Q_{medium}), is related in terms of the estimate gold concentration ($c_{\text{Au}} = \varepsilon_{400}/2.4 \text{ mmol L}^{-1}$)³⁸ in the sample.

Characterization techniques.

Transmission electron microscopy (TEM). TEM images were obtained by using a JEOL microscope at an acceleration voltage of 200 kV. Approximately 3 μL of sample was dropped on a lacey carbon-coated grid and left to dry. The size distribution of nanoparticles obtained were analyzed by using ImageJ software.

Circular dichroism (CD) spectroscopy. CD measurements were obtained in a Jasco J-815 CD spectrometer. CD spectra were recorded in the range 200-260 nm, using a quartz cuvette of 5 mm, bandwidth of 5 nm, data pitch of 1 nm, scanning speed at 50 nm/min. The spectra were obtained by an average of 10 accumulations and corrected by the PBS buffer spectrum. The measurements were showed in molar residue ellipticity (MRE) by using the relation:

$$MRE = \frac{MRW \times \theta}{10dC} \quad (6)$$

where, the measured ellipticity (θ) in degrees is related to the cuvette path length (d) in centimeters and the protein concentration (C) in g mL^{-1} . MRW corresponds to the mean residue weight defined by $MRW = M/(N-1)$, where M is the molecular mass in Daltons and N is the number of amino acids in the protein structure. For CALB, $M = 33000 \text{ g mol}^{-1}$ and $N = 317$.⁴⁰

ASSOCIATED CONTENT

Supporting Information.

The following files are available free of charge.

Additional information of LSPR characterization before and after NIR laser irradiation; Michaelis-Menten plots; NIR laser power effect on free CALB; CD spectra as function of temperature; Arrhenius plots, example of initial-burst of product kinetics; viscosity on time dependence of the product formation; fitting data carried out with COPASI and the values obtained; Table containing parameters obtained from kinetics fitted data and from COPASI software (PDF)

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

Notes

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495 ABBREVIATIONS

496 Au NPs, gold nanoparticles; AuNSp, gold nanospheres; AuNSt, gold nanostars; CALB, *Candida*
497 *antarctica* fraction B; CD, circular dichroism; k, rate constant; k_{cat} , apparent catalytic rate; k_{cat}/K_M ,
498 catalytic efficiency; KIE, kinetic isotopic effect; K_M , Michaelis constant; LSPR, localized surface
499 plasmon resonance; MRE, mean residue ellipticity; NIR, near-infrared; *p*NP, 4-nitrophenolate;
500 *p*NPP, 4-nitrophenyl palmitate; TEM, transmission electron microscopy.

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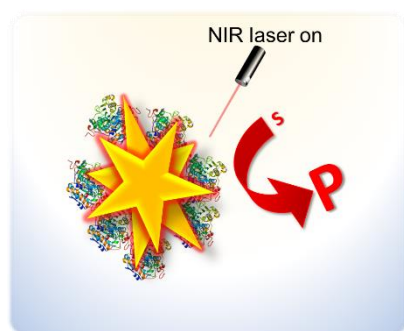
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Plasmonic biocatalysis: control of enzyme activity by LSPR excitation of plasmonic nanoparticles using external light irradiation.